



NHS Monthly Insight Report

April 2024

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Introduction

'The Internal Audit Network' (TIAN) comprises the seven NHS internal audit consortiums and in house teams operating across England. These organisations collaborate across a number of areas to leverage their collective knowledge and expertise and drive efficiency and effectiveness. This monthly insight report highlights key publications and is intended as a useful update and reference tool. This report is produced by TIAN and shared by 360 Assurance.

Developments in the NHS	
Care Quality Commission - Well-led guidance for trusts published.	<p>This CQC guidance, developed jointly with NHS England, provides a consistent understanding of a well-led trust, and reflects shared expectations across regulators. The guidance is part of CQC's broader new Single Assessment Framework, which applies to all regulated providers across the health and care sector. The guidance outlines how the CQC will assess the well-led key question to support NHS trusts to understand what good leadership looks like.</p> <p>https://www.cqc.org.uk/guidance-regulation-nhs-key-question-well-led</p> <p><i>For review and consideration by all NHS provider trusts.</i></p>
Local Government Association - Top tips and key actions for successful collaborative partnership working across mental health services.	<p>These top tips and key actions have been co-developed with the NHS, local government, social care, the voluntary, community and social enterprise sector and others to support effective partnership working in the planning and delivery of community mental health services.</p> <p>https://www.local.gov.uk/publications/top-tips-and-key-actions-successful-collaborative-partnership-working-across-mental</p> <p><i>For information and guidance.</i></p>
NHS Confederation – a new tool to help embed the principles of effective Clinical and Care Professional Leadership (CCPL).	<p>The evidence is clear that to improve outcomes for local populations, we need to embrace leadership at all levels of an ICS. It takes time and perseverance to ensure the right culture, practical arrangements and resources are in place to bring people together. Existing guidance sets out five core principles outlining what 'good' CCPL looks like. This new tool from NHS Confederation shares how three systems are working towards embedding these principles, making changes that reflect a more inclusive and holistic model. The approach is a 'work in progress' and is about more than just including clinicians: the vision is for communities and organisations to work across boundaries to develop new ways of working and deliver integrated services.</p> <p>https://www.nhsconfed.org/articles/clinical-and-care-professional-leadership-practice-0</p> <p><i>For information.</i></p>
Department of Health & Social Care: Death certification reforms.	<p>The government has confirmed that, from Monday 9 September, all deaths in any health setting that are not investigated by a coroner will be reviewed by a medical examiner. The changes form part of the death certification reforms. Providers will be required to share records of deceased patients with medical examiner offices.</p> <p>https://www.gov.uk/government/collections/death-certification-reform-and-the-introduction-of-medical-examiners</p> <p><i>For information and implementation as necessary by all healthcare providers.</i></p>

Developments in the NHS

NHS Confederation - Excellence through equality: anti-racism as a quality improvement tool.



This report comprises examples of anti-racist initiatives from BME Leadership Network members, to help advance equality within the workforce and for service users.

<https://www.nhsconfed.org/system/files/2024-04/Excellence-through-equality-PDF.pdf>

For information and guidance.

NHS Confederation - Collaborate to innovate: learning from NHS, charity and life sciences industry experience to build a culture of research and innovation in the UK.



In recent years, the UK has fallen behind in research, which means the NHS, health care professionals and the UK population risk reduced access to new treatments, diagnostics and state-of-the-art care. Although signs of recovery are starting to emerge, combining the resources and expertise of NHS, charity and life science industry partners is essential for changing this trajectory. This report, based on a roundtable discussion between the NHS Confederation, the Association of the British Pharmaceutical Industry (APBI) and health charity leaders, explores practical ways to boost UK research and engender a culture of innovation.

https://www.nhsconfed.org/system/files/2024-04/Collaborate-to-innovate_0.pdf

For information and guidance.

NHS England - Managing research finance in the NHS.

This guidance sets out good practice and other information to support NHS organisations in England to maintain or develop their research finance management policies and processes.


<https://www.england.nhs.uk/long-read/managing-research-finance-in-the-nhs/>

For information and guidance.

NHS England - Culture of care standards for mental health inpatient services

This co-produced guidance sets out the culture of care everyone wants to experience in mental health inpatient settings, including people who use services, families, carers and staff; and supports providers to realise this. The standards apply to all NHS-funded mental health inpatient service types - including those for people with a learning disability and autistic people, as well as specialised mental health inpatient services, such as mother and baby units, secure services, and children and young people's mental health inpatient services.

<https://www.england.nhs.uk/long-read/culture-of-care-standards-for-mental-health-inpatient-services/>

Developments in the NHS	
	<i>For information and implementation by Mental Health providers.</i>
NHS England - Pre-election guidance for NHS organisations.	<p>NHSE has published updated guidance on the pre-election communications restrictions now in place for spring 2024. During the election period, there should be no new announcements of policy, strategy or large and/or contentious procurement contracts, and no participation by NHS representatives in events that may be politically controversial. Communications activities necessary for operational delivery purposes should continue as normal.</p> <p>https://www.england.nhs.uk/long-read/pre-election-guidance-for-nhs-organisations-spring-2024/</p> <p><i>For noting and implementation as necessary by all NHS bodies.</i></p>
NHS England – Updates to NHS Premises Assurance Model.	<p>The latest version of the NHS Premises Assurance Model has been updated to reflect feedback from users and the working group, the NHS Constitution and changes in policy, strategy, technology and regulation. These updates ensure the model is as useful and effective as possible for trusts. The Model provides the assurance that actions needed to keep the NHS estate and facilities safe, effective, efficient and of high quality are in place.</p> <p>https://www.england.nhs.uk/publication/nhs-premises-assurance-model/</p> <p><i>For implementation by all NHS bodies.</i></p>
British Medical Association - When a doctor leaves: tackling the cost of attrition. 	<p>This report sets out the reasons why retaining doctors needs to be an urgent priority for UK governments, health services and employers. Drawing on new analysis, the report sets out the high cost of medical attrition in the UK's health services and provides a series of recommendations to better retain the workforce.</p> <p>https://www.bma.org.uk/advice-and-support/nhs-delivery-and-workforce/workforce/tackling-medical-attrition-in-the-uk-s-health-services/</p> <p><i>For information.</i></p>
Information Commissioner's Office (ICO) - Transparency in health and social care.	<p>The ICO supports health and social care organisations to ensure that they are being transparent with people about how their personal information is being used. This new guidance provides regulatory certainty on how these organisations should keep people properly informed.</p> <p>https://ico.org.uk/for-organisations/uk-gdpr-guidance-and-resources/data-protection-principles/transparency-in-health-and-social-care/</p> <p><i>For implementation by all NHS bodies</i></p>
Information Commissioner's Office (ICO) - updated FOI guidance.	<p>The ICO recently updated their guidance, on the "prejudice" test – which is the test all public organisations need to understand and carry out when relying on a prejudice-based exemption under FOIA. e.g. Under section 43(2) - commercial interests. They have also updated the guidance on the s46 code of practice - which is the code which outlines a good information management framework for the keeping, management and destruction of records.</p>

Developments in the NHS

Patient Information Forum - Balancing the risks and benefits of AI in the production of health information.



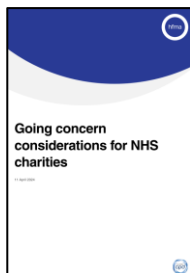
<https://ico.org.uk/for-organisations/foi/freedom-of-information-and-environmental-information-regulations/the-prejudice-test/>
For information and guidance in relation to dealing with FOI requests.

Developed in collaboration with the Patient Information Forum's Artificial Intelligence (AI) working group, this position statement aims to help organisations involved in healthcare to understand the AI landscape and how to manage it. It defines what AI is, outlines the risks and benefits of AI, provides a glossary of terms, and makes a case for developing AI use policies. A full framework for AI policy creation is in development and will be published in the autumn of 2024.

<https://pifonline.org.uk/download/file/1176/>

For information.

HFMA- Various Briefings:



The HFMA have published new and updated briefings covering a wide range of important governance topics and resources:

Going concern considerations for NHS charities: Charity trustees need to assess the charity's ability to continue as a going concern. This briefing sets out the issues that could be included in that assessment for NHS charities.

<https://www.hfma.org.uk/system/files/2024-04/going-concern-considerations-for-nhs-charities-7267.pdf>

Example NHS charity annual report and accounts 2023/24: The example has been updated to reflect the changing financial landscape, to ensure it reflects best practice in financial reporting and to flag future changes to guidance.

<https://www.hfma.org.uk/publications/example-nhs-charity-annual-report-and-accounts-202324>

Sustainability reporting in the NHS 2023/24 and beyond: The briefing sets out the NHS environmental sustainability commitments and reporting requirements across the United Kingdom. <https://www.hfma.org.uk/publications/sustainability-reporting-nhs-202324-and-beyond>

Automating administrative tasks: NHS organisations are using robotic process automation in a range of clinical and non-clinical areas to streamline administrative tasks. <https://www.hfma.org.uk/publications/automating-administrative-tasks>

HFMA directory of resources: A detailed directory of all the resources from across the HFMA and One NHS Finance, split up by categories relevant to specific areas of interest. <https://www.hfma.org.uk/publications/hfma-directory-resources>

NHS value and efficiency map: Delivering short-term financial recovery and long-term financial sustainability is a major concern for many NHS organisations. This updated version of the map has links to the latest guidance and examples of best practice, organised in a single document and grouped for ease of reference. <https://www.hfma.org.uk/publications/nhs-value-and-efficiency-map>

For information and guidance.

Disclaimer: This briefing paper is intended to highlight recent developments and issues within the NHS that may be of interest to non-executive directors, lay members and NHS managers. It is not exhaustive and TIAN cannot be held responsible for any omission.



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